

# DESCRIPTION OF THE PROCESS

## IV. C. CLASSIFICATION OF ACCREDITATION CATEGORIES

### PREACCREDITATION CATEGORIES

#### Applicant

To initiate the accreditation process for a new occupational therapy or occupational therapy assistant program, a Letter of Intent and required attachments must be submitted to the Accreditation Council for Occupational Therapy Education (ACOTE®) by email to [accred@aota.org](mailto:accred@aota.org), prior to admission of the first class of students. Once a Letter of Intent is approved and deposit is received, the program is classified as an Applicant Program and will be required to submit a Candidacy Application.

#### Candidacy Status

If ACOTE determines that the program's Candidacy Application meets the requirements for Candidacy Status and documents the program's potential to achieve compliance with the Standards, the action will be to grant Candidacy Status. When the program's institutional officials receive written notification from ACOTE that the program has been granted Candidacy Status, students may be admitted into the program according to the approved timeline. ACOTE will officially list the program as having Candidacy Status. (See [ACOTE Policy III.A.1. Step One: The Application Review](#)).

#### Preaccreditation Status

Once Candidacy Status has been granted, the program moves to the second step, the preaccreditation review. In this step, the program assesses and documents its compliance with the ACOTE Standards and submits an initial Report of Self-Study. Upon review of this report, ACOTE grants, defers action on, or denies Preaccreditation Status. The preaccreditation review is a process used by ACOTE to provide feedback to a developing program prior to the initial on-site evaluation. The granting of Preaccreditation Status indicates that, on the basis of examination of the initial Report of Self-Study and supplementary information available to the reviewers, ACOTE has determined that the program is likely to meet the [ACOTE Accreditation Standards](#) if fully implemented in accordance with its plans. A program cannot hold Preaccreditation Status for more than five years. An initial on-site evaluation will be conducted before an accreditation decision is made. (See [ACOTE Policy III.A.2. Step Two: Preaccreditation Review](#)).

### ACCREDITATION CATEGORIES

The following accreditation categories are used by ACOTE based on evaluation of the extent to which an educational program complies with the [ACOTE Accreditation Standards](#).

A list of accredited programs is available on the [Schools](#) page of ACOTE's website. All final accreditation actions will be posted on the [Accreditation Actions](#) page of ACOTE's website.

The sponsoring institution of a program may withdraw the Application for Accreditation at any time prior to final action by ACOTE.

### ACCREDITATION

Initial and continuing Accreditation is only granted when a program is in full compliance with **all** the ACOTE Accreditation Standards.

## ACCREDITATION WITH CONDITIONS

Accreditation with Conditions is granted when a program is in **substantial compliance** with the ACOTE Accreditation Standards, but does not meet the minimal requirements to be in full compliance with all the ACOTE Standards. A program may be in **substantial compliance if one or both conditions exist:**

1. The program does not have all the elements in place to meet all aspects of one or more of the ACOTE Accreditation Standard(s), but it is believed that with minimal modifications, these areas can be readily correctable within **60 days**. A new award of accreditation will be granted contingent upon the program providing the appropriate evidence of correction within **60 days** of the ACOTE action.

Based on the action of ACOTE, the sponsoring institution is provided with a clear statement of the area(s) of concern. On or before the specified due date (within 60 days), the institution must submit, through eAccreditation, evidence correcting the indicated area(s) of concern.

2. The program has the necessary policies, procedures, and practices in place; however, it is not evident that these policies, procedures, and practices are consistently followed, ACOTE will monitor the Standard(s) and review a monitoring report within **one year** of the ACOTE action.

Based on the action of ACOTE, the sponsoring institution is provided with a clear statement of each area of concern. On or before the specified due date (within 1 year), the institution must submit, through eAccreditation, a monitoring report.

Once a continuing program (reaccreditation) corrects the indicated area(s) of concern, the accreditation status will be changed to Accreditation. However, if the program does not adequately address the issue(s) within 60 days or the monitoring period reveals the program is not in compliance, the Standard(s) will be changed to an area of noncompliance and the program's accreditation status will move to **Continuing Accreditation with Warning**.

Once a new (initial) program corrects the indicated area(s) of concern, the accreditation status will be changed to Accreditation. However, if the new program does not adequately address the issue(s) within 60 days or the monitoring period reveals the program is not in compliance, the program's accreditation status will be changed to **Continuing Accreditation-Probationary**.

New programs seeking initial accreditation may be granted Accreditation or Accreditation with Conditions. If a new program is not in substantial compliance with the Standards, ACOTE may defer Accreditation and the program will maintain Preaccreditation Status. A program may maintain Preaccreditation Status until all areas of noncompliance are resolved, **not to exceed 5 years**. If the program is **not** in substantial compliance with the Standards or is **not** making progress toward full compliance with the Standards that are vital to the educational program, ACOTE may deny accreditation.

## CONTINUING ACCREDITATION WITH WARNING

Continuing accreditation with warning is granted when a program is in noncompliance with one or more of the ACOTE Accreditation Standard(s). The program's current accreditation status will be extended until all areas of noncompliance are resolved based on the timeline below. Once ACOTE determines that the program has come into full compliance, a new accreditation term will be granted. The amount of time the program maintained continuing accreditation with warning status will be deducted from the new accreditation term.

Based on the action of ACOTE, the sponsoring institution is provided with a clear statement of each area of noncompliance. On or before the specified due date, the institution must submit to ACOTE a plan for correcting the indicated area(s) of noncompliance. This plan must include a schedule for correcting areas of noncompliance within a specified period of time that may not exceed the United States Department of Education (USDE) criteria.

- 12 months if the program is less than 1 year in length;
- 18 months if the program is at least 1 year in length, but less than 2 years in length; or
- 2 years if the program is at least 2 years in length.

ACOTE will assess the Plan of Correction and the timeline for the program to be in full compliance with the Standards and will require Progress Reports documenting how each area of noncompliance has been or is being resolved. If ACOTE determines that the program has not returned to compliance with the Standards within the specified period of time (depending on program length), it will change the program's status to Accreditation Withdrawn-Involuntary unless the period for achieving compliance is extended for good cause. The notification of accreditation letter will inform the institution of the status awarded to the educational program.

In deciding to grant an extension of the period for achieving compliance for good cause, ACOTE will review the rationale for the request and assess whether the program has met the following criteria:

1. The program has submitted an appropriate plan for achieving compliance within a reasonable time frame.
2. The program has provided a detailed timeline for completion of the plan.
3. The program has provided evidence that the plan has been implemented according to the established timeline.
4. The program has provided reasonable assurance that the program will achieve compliance as stated in the plan.

ACOTE may also grant an extension of the period for achieving compliance to allow a fact-finding visit or on-site evaluation to be conducted prior to taking action to withdraw accreditation. Extensions of the period of time for achieving compliance are usually limited to a maximum of 2 additional years.

## **PROBATIONARY STATUS**

A program's status may be changed to Probationary Accreditation or Continuing Accreditation-Probationary-for any of the following reasons:

1. The areas of noncompliance are so serious that the capability of the program to provide acceptable educational experiences for the students is threatened. If the cited areas of noncompliance are not in dispute, ACOTE may recommend Probationary Accreditation without conducting an on-site evaluation. However, most awards of Probationary Accreditation in this category are based on evidence obtained during an on-site evaluation or fact-finding visit.
2. The program has failed to document significant progress toward compliance with one or more cited areas of noncompliance.
3. The program is in jeopardy of having its accreditation status withdrawn due to the mandated time limit for carrying areas of noncompliance.
4. The program fails to comply with administrative requirements. (See Administrative Requirements Policy section below).

Programs cited with area(s) of noncompliance are notified in writing that all area(s) must be corrected within a specified period of time that may not exceed the United States Department of Education (USDE) criteria:

- 12 months if the program is less than 1 year in length;
- 18 months if the program is at least 1 year in length, but less than 2 years in length; or
- 2 years if the program is at least 2 years in length.

Programs are required to report on progress toward correcting cited areas of noncompliance at approximate 4-month intervals throughout the year. Programs are reminded of the time limit for correcting cited areas at regular intervals, depending upon the program's specific time limit for correction. If the area(s) of noncompliance are not corrected approximately 8 months prior to the end of the time limit, the institution is notified that the program is at risk of being placed on Probationary Accreditation if the cited area(s) are not corrected in the next Progress Report. At the same time, the program is notified of the procedure for requesting an extension for good cause.

If the area(s) of noncompliance are not corrected in the next Progress Report (reviewed by ACOTE approximately 4 months prior to the end of the time limit), and the program has NOT been granted an extension for good cause, the program status may be changed to Probationary Accreditation.

Probationary Accreditation is an accreditation category. During a period of Probationary Accreditation, programs are recognized and listed as being accredited. Probationary Accreditation is usually limited to 1 year. However,

extensions may be granted by ACOTE for good cause. ***Programs receiving ACOTE's notification of a change of status to Probationary Accreditation must notify currently enrolled students and those seeking admission that the program has been placed on probation within 7 business days of receipt of the notification. Programs are also required to post a notification of the change of status to Probationary Accreditation on the program's homepage.***

The appropriate official is provided with a clear statement of each area of noncompliance contributing to the placement of the program on Probationary Accreditation. This official is also notified of the due date for the required Plan of Correction. ACOTE will assess the adequacy of the plan to return the program to substantial compliance with the Standards within the specified period of time and will require Progress Reports documenting how each area of noncompliance has been or is being resolved. Probationary status may be removed when the program has demonstrated that it is in ***substantial compliance*** with the Standards:

- Because the areas of noncompliance are resolved;
- Because the program has documented significant progress toward compliance with the cited areas of noncompliance within the allowed time period; or
- Because the program has complied with administrative requirements.

If all areas of noncompliance have been resolved or the program has complied with administrative requirements, the program's status will be changed to Accreditation. If the program has corrected the identified areas of noncompliance leading to probation within a reasonable period of time, but areas of noncompliance remain, the program's status will be changed to Accreditation with Warning.

Failure to come into substantial compliance with the Standards within the allowed time period will result in a change of status to Accreditation Withdrawn-Involuntary.

## **ADMINISTRATIVE REQUIREMENTS POLICY**

The sponsoring institution and the accredited program must comply with the following administrative requirements for maintaining accreditation as required by ACOTE Standard A.1.5. The sponsoring institution or program must

1. Inform ACOTE of the transfer of program sponsorship or change of the institution's name within 30 days of the transfer or change.
2. Inform ACOTE within 30 days of the date of notification of any adverse accreditation action taken to change the sponsoring institution's accreditation status to probation or withdrawal of accreditation.
3. Notify and receive ACOTE approval for any significant program changes prior to the admission of students into the new/changed program.
4. Inform ACOTE within 30 days of the resignation of the program director or appointment of a new or interim program director.
5. Pay accreditation fees within 90 days of the invoice date.
6. Submit a Report of Self-Study and other required reports (e.g., Interim Report, Plan of Correction, Progress Report) within the period of time designated by ACOTE. All reports must be complete and contain all requested information.
7. Agree to a site visit date before the end of the period for which accreditation was previously awarded.
8. Demonstrate honesty and integrity in all interactions with ACOTE.
9. Comply with the current requirements of all ACOTE policies.

When an accredited program has failed to satisfy the requirements identified above, the program may be cited with an area of noncompliance pertaining to Standard A.1.5 and placed on Probationary Accreditation at the next ACOTE meeting.

Continued failure to meet the administrative requirements for maintaining accreditation may result in a recommendation for involuntary withdrawal of accreditation.

## INACTIVE STATUS

Inactive status is a status applied only to programs that are not currently enrolling new students and do not plan to enroll new students in the future. Programs that continue to enroll students, even when the frequency of admissions is reduced (e.g., from once a year to every other year), do not need to request that the program be placed on inactive status.

Inactive status does not replace the program's current accreditation status. The designation follows the regular accreditation status (e.g., Accreditation-Inactive or Probationary Accreditation-Inactive). Students graduating from a program with a status of Accreditation-Inactive or Probationary Accreditation-Inactive are considered graduates of an accredited program. A program may remain on inactive status for a maximum of 3 years dependent upon the accreditation term remaining. During that time, programs with a status of Accreditation-Inactive or Probationary Accreditation-Inactive remain responsible for submission of Annual and Inactive Status Reports to ACOTE and must pay the annual accreditation fee. A program may request Inactive Status only once within the assigned accreditation term (e.g., 5, 7, or 10 years). (See [ACOTE Policy VI.D. Inactive Status](#)).

## NON-ACCREDITATION CATEGORIES

The following non-accreditation categories are used by ACOTE when a program is not in substantial compliance with the [ACOTE Accreditation Standards](#).

## ACCREDITATION DEFERRED

A program seeking initial accreditation may have accreditation deferred if the accreditation review process confirms that the program is not in substantial compliance with all ACOTE Standards. The program receives, along with the notification of Accreditation Deferred, a list of concerns identified by ACOTE in reaching its decision and a due date for submission of supplementary information that addresses the concerns.

The supplementary information is reviewed at the next meeting of ACOTE, at which time a decision will be made to either grant or deny Accreditation Status.

The sponsoring institution is responsible for informing the students in the occupational therapy educational program that there is no assurance that the program will be accredited prior to their graduation. Because failure to receive accreditation would affect the students' eligibility to sit for the National Board for Certification in Occupational Therapy (NBCOT) certification examination, it is imperative that students be notified by the institution of the options for protecting certification eligibility (e.g., transfer to an accredited program).

If the program does not respond by the due date for submission of supplementary materials or if the Accreditation Status is denied following the second review, the program maintains Preaccreditation Status until it has demonstrated full or substantial compliance with the Standards, not to exceed 5 years.

## ACCREDITATION DENIED

A program seeking initial accreditation may have accreditation denied if the accreditation review process confirms that the program, at a minimum, is not in substantial compliance or is not making progress toward full compliance with the Standards that are vital to the educational program. If there are any remaining students in the program, the program is expected to assist any students who have not yet completed their degrees to find reasonable opportunities to complete their education. The program must provide to ACOTE a teach-out plan that ensures equitable treatment of the remaining students and must ensure students completing the teach-out would meet curricular requirements for professional licensure and certification. The plan must specify:

- The number of students remaining in the program and their status in the program.
- A list of similar programs that could potentially enter into a teach-out agreement with the program.

- A provision for notification to the students of any additional costs.
- How the status of accreditation denied will be disclosed to students in the program as well as to prospective applicants.

If ACOTE denies accreditation, it may maintain the program’s Candidacy Status or Preaccreditation Status for currently enrolled students until the program has had a reasonable time to complete the activities in its teach-out plan to assist students in transferring to another program, but for no more than 120 days unless approved by ACOTE for good cause.

The appropriate officials of the program and institution are provided with a clear statement of each area of noncompliance and is informed that a new application for accreditation may be made whenever the program is believed to be in substantial compliance with the Standards. A Letter of Intent must be filed and the initial accreditation process repeated. Initial fees are reassessed.

**Opportunity for Withdrawal of Application**

The institution sponsoring a program may withdraw the Application for Initial Accreditation at any time prior to final action by ACOTE. Programs selecting this option relinquish the opportunity to appeal that is provided programs receiving a status of accreditation denied.

**Opportunity for Appeal**

The letter from ACOTE notifying the appropriate official that the program has had accreditation denied for failure to be in substantial compliance with the Standards indicates that the institution may appeal the decision. (See [ACOTE Policy IV.D. Appeals Process](#)).

<b>ACCREDITATION WITHDRAWN</b>
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1. **ACCREDITATION WITHDRAWN-VOLUNTARY**

(At the request of the sponsoring institution.)

The appropriate official of a program may at any time inform ACOTE in writing that the program is or will be discontinued by a given date or wishes to have accreditation withdrawn. If there are any remaining students in the program, this notification must include a teach-out plan that ensures equitable treatment of the remaining students and must ensure students completing the teach-out would meet curricular requirements for professional licensure and certification.. The plan must specify:

- The number of students remaining in the program and their status in the program.
- A list of similar programs that could potentially enter into a teach-out agreement with the program.
- A provision for notification to the students of any additional costs.
- Resources, including faculty, for ensuring a quality education for the remaining students in the program.
- How the planned closure will be disclosed to students in the program as well as to prospective applicants.

The official is informed that the sponsoring institution must apply for accreditation as a new applicant should it wish to resume sponsorship of an accredited program.

2. **ACCREDITATION WITHDRAWN-INVOLUNTARY**

(For failure to be in substantial or full compliance with the Standards or with administrative requirements.)

ACOTE may change a program’s status to Accreditation Withdrawn-Involuntary for any of the following reasons:

- If such action is warranted, such as evidence of critical areas of noncompliance that appear to be irremediable within a reasonable length of time, or a documented threat to the welfare of current and potential students, **ACOTE may take immediate adverse action to change a program’s status to Accreditation Withdrawn-Involuntary without first providing a period of probation.**
- Accreditation may be involuntarily withdrawn from a program with Probationary Accreditation if the accreditation review process confirms that the program has not come into substantial compliance with the Standards within the period of time mandated by USDE.

- Accreditation may be involuntarily withdrawn if the program has not complied with the administrative requirements for maintaining accreditation within a reasonable period of time, usually no longer than 1 year.

The appropriate official is provided with a clear statement of concerns, the opportunity for appeal, and is informed that the sponsoring institution may apply for accreditation as a new applicant when the program is believed to be in full compliance with the Standards and with the administrative requirements for maintaining accreditation.

The program is expected to assist students who have not yet completed their degrees to find reasonable opportunities to complete their education. The program must provide to ACOTE a teach-out plan that ensures equitable treatment of the remaining students and must ensure students completing the teach-out would meet curricular requirements for professional licensure and certification. The plan must specify:

- The number of students remaining in the program and their status in the program.
- A list of similar programs that could potentially enter into a teach-out agreement with the program.
- A provision for notification to the students of any additional costs.
- How the accreditation status withdrawn will be disclosed to students in the program as well as to prospective applicants.

### **Opportunity for Withdrawal of Application**

The institution sponsoring a program may withdraw the Application for Continuing Accreditation at any time prior to ACOTE action. Programs selecting this option relinquish the opportunity to appeal that is provided programs receiving a status of Accreditation Withdrawn-Involuntary.

### **Opportunity for Appeal**

The letter from ACOTE notifying the appropriate officials that the program has received Accreditation Withdrawn-Involuntary status indicates that the institution may appeal the decision. (See [ACOTE Policy IV.D. Appeals Process](#)).

## **MOVING FROM ONE ACCREDITATION CATEGORY TO ANOTHER**

### **FROM INITIAL OR CONTINUING ACCREDITATION**

If the accreditation review process confirms that a program is in substantial compliance with the Standards and with administrative requirements, the program is awarded Accreditation or Accreditation with Conditions. If the program is not in compliance with the Standards and requirements, ACOTE may grant Continuing Accreditation with Warning, Continuing Accreditation-Probationary, or Accreditation Withdrawn-Involuntary.

### **FROM PROBATIONARY ACCREDITATION**

If the accreditation review process confirms that a program has corrected the identified areas of noncompliance leading to probation within a reasonable period of time and is in substantial compliance with the Standards and with administrative requirements, the Probationary status may be removed. If all areas of noncompliance have been resolved or the program has complied with administrative requirements, the program's status will be changed to Accreditation. If the program has corrected the identified areas of noncompliance leading to probation within a reasonable period of time, but areas of noncompliance remain, the program's status will be changed to Accreditation with Warning.

If the identified areas of noncompliance are not corrected within a reasonable period of time (usually no more than 1 year), ACOTE may grant an extension of Probationary Accreditation or change the program's status to Accreditation Withdrawn-Involuntary.

## **PUBLIC DISCLOSURE OF PROBATIONARY ACCREDITATION OR FINAL ADVERSE ACTIONS**

Final decisions to place a program on Probationary Accreditation, deny accreditation, or withdraw accreditation will

be publicly disclosed via a summary of ACOTE actions on the ACOTE website ([www.acoteonline.org](http://www.acoteonline.org)) and in response to written or verbal inquiries. This summary will include the following information:

1. The award of accreditation or reaccreditation of a program.
2. Final decisions to deny or withdraw accreditation of a program and any findings made in connection with the action taken, together with the official comments of the affected institution.
3. Any other adverse action taken with respect to a program or placement of a program on Probationary Accreditation.

(See [ACOTE Policy VI.B. Policy on Confidentiality and Disclosure](#)).